



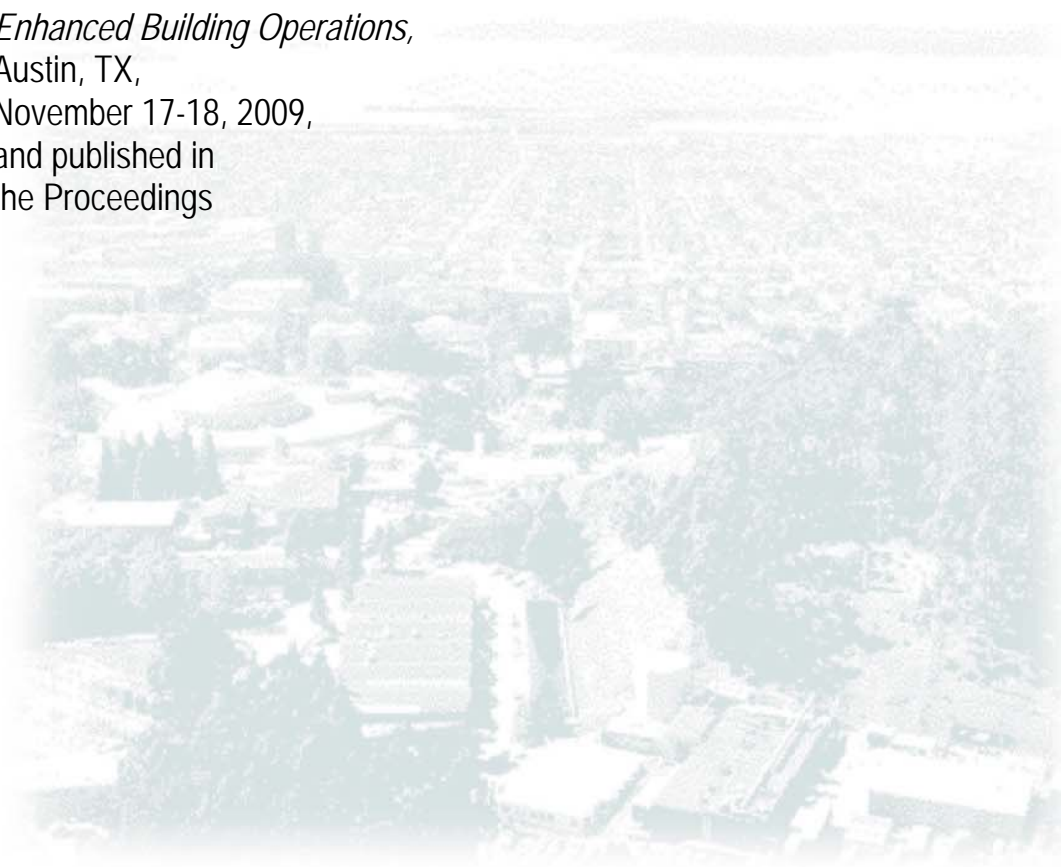
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Chilled Water Storage System and Demand Response at the University of California at Merced

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ABSTRACT

The University of California at Merced is a unique campus that has benefited from intensive efforts to maximize energy efficiency, and has participated in a demand response program for the past two years. Campus demand response evaluations are often difficult because of the complexities introduced by central heating and cooling, non-coincident and diverse building loads, and existence of a single electrical meter for the entire campus. At the University of California at Merced, a two million gallon chilled water storage system is charged daily during off-peak price periods and used to flatten the load profile during peak demand periods. This makes demand response more subtle and challenges typical evaluation protocols. The goal of this research is to study demand response savings in the presence of storage systems in a campus setting. First, University of California at Merced summer electric loads are characterized; second, its participation in two demand response events is detailed. In each event a set of strategies were pre-programmed into the campus control system to enable semi-automated response. Finally, demand savings results are applied to the utility's DR incentives structure to calculate the financial savings under various DR programs and tariffs. A key conclusion to this research is that there is significant demand reduction using a zone temperature set point change event with the full off peak storage cooling in use.

INTRODUCTION

The goal of this study is to evaluate the demand response (DR) at the University of California at Merced (UCM), including the load reduction demonstrated in the presence of thermal energy storage (TES) and the quantification of the demand savings by building and end use. Campus DR evaluations are often complicated by the presence of diverse building types and associated loads such as laboratories, offices, classrooms, kitchens, and data centers, and a variety of distributed and centralized heating and cooling systems. In addition although campuses typically feature one master meter under a utility tariff, the central plant and buildings themselves exhibit non-coincident peak loads. As a result, load reductions at the building level may be obscured at the campus master meter. Many campuses are not metered to a degree that permits disaggregation of campus-wide load reductions to individual buildings, and end uses. Since reductions at the main meter are the basis of DR accounting, the precise impact of building-level reductions can only be quantified through careful analysis of the degree

to which peak loads are non-concurrence, i.e., 'diversity'.

Opened in 2005, UCM is the newest University of California campus. Prior to opening, the campus made a strong commitment to energy efficient building design and energy plays a fundamental role in campus objectives (Brown 2002). Peak power at the buildings, for example is only half the average for California campuses. UCM uses Automated Logic Corporation's WebCTRL™ energy management and control system (EMCS), through which energy and equipment data can be remotely accessed. One result of the campus' initial focus on energy is an especially comprehensive monitoring and metering system in which over 10,000 points are tracked across 800,000 ft² of built space. A variety of historic trends are stored ranging from whole-building meters, to electric panels, zone temperatures, thermostat overrides and fan power.

At UCM a two-million-gallon central chilled water TES is charged daily during off-peak price period, and utilized during peak price period to flatten the load profile. Approximately 1.2 MW, or over one quarter of the maximum campus load is shifted, limiting DR strategies by drastically reducing the mid-day peak that would otherwise exist. Chilled water from the central plant provides cooling to each of three academic buildings, as well as to the housing units, dining facilities, and auxiliary buildings. The central plant also supplies heating hot water to the primary academic buildings, and process steam to the Science and Engineering building. UCM utilizes variable air volume (VAV) HVAC controls with variable frequency drive pumps and fans. Much of the campus lighting is scheduled, although some areas feature local occupancy or photosensor controls.

In summary, this research, conducted by the Demand Response Research Center at Lawrence Berkeley National Laboratory, was conducted to evaluate two frequently asked questions. First, is there demand response potential in commercial building that use full off peak storage cooling? Second, how can multi-building campuses participate in DR? The next section presents the methodology followed by the results, conclusion and discussion, and future work.

METHODOLOGY

A suite of complementary analyses was conducted to gain a comprehensive understanding of demand reduction at the UCM campus. Three data sources were used: 15-minute interval data from the campus' utility account; 15-minute data from whole-building electric meters and submeters stored in WebCTRL; and hourly temperature data from

National Oceanic and Atmospheric Administration. (NOAA). Building and submetered data consist of samples taken every 15 minutes, whereas the campus electric utility metered data are averages over 15-minute intervals.

Campus loads were analyzed to evaluate the load variability, weather sensitivity (Coughlin et al. 2009) and load shape features. DR potential was assessed using the campus' historical DR participation and load shape statistics. Load variability (VAR) is essentially a measure of the coefficient of variance; it is the ratio of the standard deviation to average demand, for each hour during the time period of interest, as defined in Equation 1. The larger the load variability, the more difficult it is to accurately predict the load. A load shape statistical summary (LSS) shows the average, minimum, maximum and standard error of 15-min demand across each day in the period of interest. LSS and VAR both reflect DR potential as they indicate when and where peak loads occur, or the extent to which loads vary or can be reliably predicted.

$$VAR = \frac{\sqrt{\frac{\sum_{i=1}^N (x_i - \bar{x})^2}{N-1}}}{\bar{x}} \quad (1)$$

where \bar{x} is the average hourly load in the period,
and N is the number of days in the period

Weather sensitivity reflects the degree to which loads are impacted by local weather, and is an important consideration in baseline selection. The baseline is critical to demand savings calculations, as it is used as the reference from which to measure the load shed during an event. Weather sensitivity was calculated by the rank order correlation (ROC) between paired load and outside air temperature, based on the Spearman rank order correlation coefficient, provided in Equation 2.

$$r_s = 1 - \frac{6(\sum D^2)}{N(N^2 - 1)} \quad (2)$$

where D is the difference between each pair of ranks

Two baseline methods were used to calculate load reduction. The three-in-ten (3/10) baseline is common to California utility programs, and is based on the average of three days out of the prior ten weekdays, excluding holidays, in which energy

consumption was highest during DR hours. The second baseline, the morning-adjusted outside air temperature regression (OAT_MA), was calculated based on a 20-day linear regression of interval meter data on outside air temperature (OAT). The baseline indicated by the regression is then calibrated with the actual demand on the DR event day, with an adjustment factor based on actual loads during the pre-event morning hours. The adjustment factor is the ratio of the actual load to the loads predicted in the regression (Han, et al. 2008).

TES impact and DR savings at the campus and building levels were determined by comparing interval meter data to the baseline. Submetered loads at the panel and component levels were used to disaggregate building load reductions into specific end uses, including lighting, plug loads, HVAC and mechanical equipment, and server or computer equipment.

The economic value of UCM's demand savings was estimated by first determining the utility programs for which the campus is eligible. UCM's observed demand savings were then used to compute the incentives that would have accrued under each DR program.

This set of analyses was applied to two DR events, one in August 2008, and one in July 2009. In both cases, a pre-programmed strategy was implemented through the HVAC controls. Temperature setpoints were globally programmed to rise 4°F (2.2°C) upon initiation by the energy manager, and at the conclusion of the event, setpoints were programmed to return to normal in two steps to avoid a rebound (Motegi et al. 2007) and the creation of a new peak. In addition, the campus energy manager solicited voluntary manual load reductions through notices to building occupants requesting that they turn off unused lights and equipment.

RESULTS

Load Shape and Variability

Table 1 and Figure 1 show the results of load variability calculations at UCM in the summer of 2008. In building applications, hourly load variability under 0.15 is considered low (Coughlin et al. 2009). Throughout the summer peak period, May through October, load variability between noon and 6 pm had a maximum value of 0.12, and average value 0.11. Hourly averages over the summer months (final row in Table 1) are higher than averages over the DR hours (final column in Table 1) due to month-to-month variations in the load. For example, October and June are highly dissimilar, whereas noon and 3PM are similar for any given month.

Table 1. UCM load variability in the summer of 2008

Month \ Hour	12:00	13:00	14:00	15:00	16:00	17:00	18:00	Average
May	0.07	0.07	0.07	0.08	0.08	0.08	0.08	0.08
Jun	0.04	0.05	0.05	0.05	0.05	0.05	0.05	0.05
Jul	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03
Aug	0.10	0.10	0.11	0.10	0.10	0.11	0.10	0.10
Sep	0.02	0.03	0.03	0.02	0.02	0.03	0.03	0.03
Oct	0.04	0.04	0.03	0.04	0.04	0.04	0.04	0.04
May - Oct	0.10	0.11	0.11	0.11	0.11	0.11	0.12	0.11

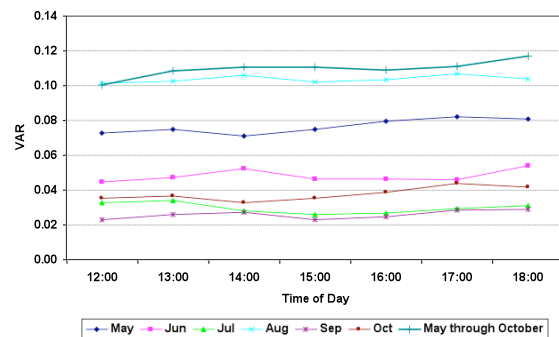


Figure 1. UCM load variability in the summer of 2008

The load shape statistical summary for UCM campus is shown in Figure 2. The load is flat during occupied hours with a small deviation from late morning to 7:00 PM. Early morning variability is likely due to daily differences in the amount of time required for the chillers to charge the TES tank.

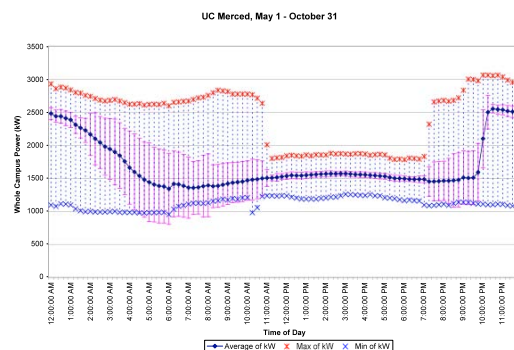


Figure 2. Load shape statistical summary for UCM, summer 2008

Weather Sensitivity

Table 2 and Figure 3 summarize UCM's hourly ROC findings. For buildings, 0.7 is considered the sensitivity threshold (Coughlin et al. 2009), and throughout the summer DR period UCM ranges from 0.01 to 0.17. The campus does however appear to be weather sensitive in months such as May, when campus occupancy is high, and temperatures can approach annual maximums. The fact that weather sensitivity appears only in specific months, in spite of a climate with high summer temperatures,

demonstrate that ROC calculations may not be directly applicable to sites with cold storage. As expected, the whole-building electric loads are not strongly weather sensitive, because the central plant provides the cooling.

Table 2. UCM ROC weather sensitivity, summer 2008

Month \ Hour	12:00	13:00	14:00	15:00	16:00	17:00	18:00	Average
May	0.75	0.78	0.74	0.81	0.80	0.78	0.72	0.77
Jun	0.59	0.60	0.54	0.51	0.53	0.52	0.44	0.53
Jul	0.71	0.68	0.71	0.66	0.51	0.58	0.79	0.66
Aug	0.38	0.34	0.32	0.28	0.18	0.25	0.15	0.27
Sep	0.77	0.76	0.77	0.82	0.56	0.41	0.36	0.63
Oct	0.48	0.49	0.59	0.64	0.56	0.61	0.34	0.53
May - Oct	0.08	0.12	0.10	0.12	0.07	0.01	-0.17	0.05

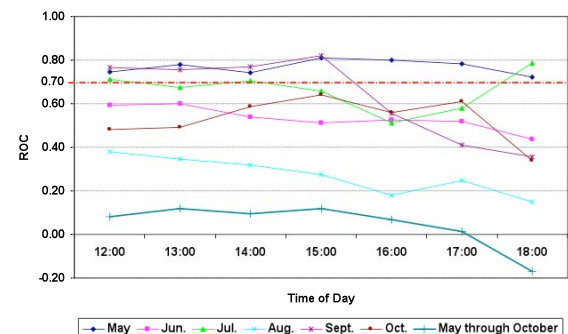
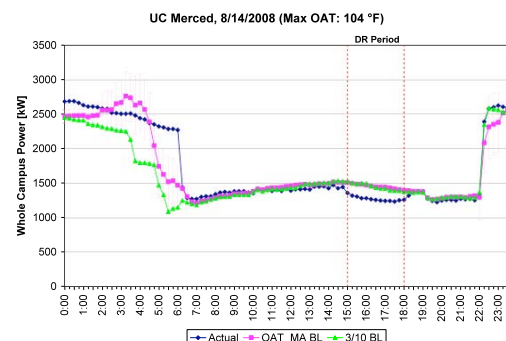


Figure 3. UCM's weather sensitivity, summer of 2008

DR Savings

Figure 4 and Table 3 summarize the campus response during two DR events called in 2008 and in 2009. In the graphs, the OAT_MA baseline load is plotted with square markers and the error bars indicate standard error. The load on the event day is plotted with diamond markers and the DR period is indicated with the vertical dotted lines. In the table, average and maximum absolute demand reduction are shown, as well as the average and maximum percent demand reductions, relative to the OAT_MA baseline.



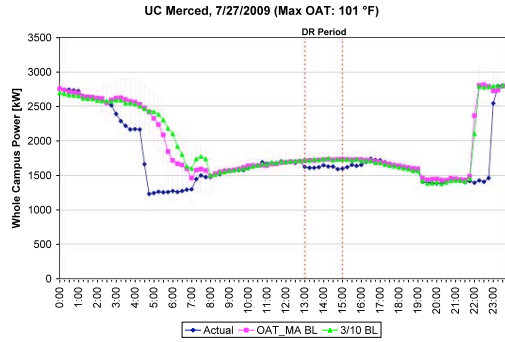


Figure 4. DR events at UCM, 2008 (top) and 2009 (bottom)

Table 3. Summary of whole campus DR savings from each DR event in 2008 and 2009.

Date	Time	Demand kW		Percentage	
		Max	Ave	Max	Ave
August 14, 2008	15:00-16:00	202	187	14%	13%
	16:00-17:00	199	193	14%	13%
	17:00-18:00	188	166	13%	12%
	15:00-18:00	202	182	14%	13%
July 27, 2009	13:00-14:00	110	100	6%	6%
	14:00-15:00	143	121	8%	7%
	13:00-15:00	143	110	8%	6%

In 2008 the maximum and average demand reduction throughout the three-hour DR event period were 14% and 13%, respectively. In 2009 under the pre-programmed DR strategy the maximum and average reductions throughout the two-hour DR event period were 8% and 6%.

The relative contribution of the individual buildings to the whole-campus reduction in 2008 is shown in Figure 5. The category labeled ‘other’ includes buildings such as the dining and common areas, gymnasium, and dorms. Taken together, the three main buildings make up half of the campus load reduction. The Library accounted for 30% of the campus load reduction, the Classroom and Office building (COB) 13%, and the Science and Engineering (S&E) building 6%.

Campus Demand Savings Contribution

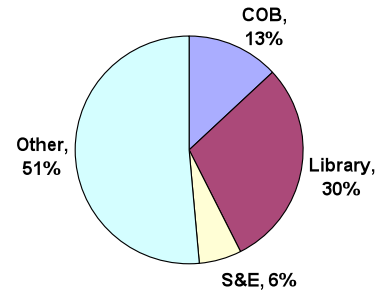


Figure 5. Relative building contributions to total demand savings

In addition to each building’s relative contribution to the campus savings, the absolute savings at each building were evaluated. Table 4 summarizes the absolute and percent whole building load reductions measured against the individual OAT_MA baseline throughout the DR period.

Table 4. Demand savings at three large buildings on campus, summer 2008

Building	kW		W/ft ² (W/m ²)		Percent	
	Max	Ave.	Max	Ave.	Max	Ave.
COB	29	24	0.32 (0.03)	0.26 (0.02)	28%	23%
Library	77	54	0.39 (0.04)	0.27 (0.03)	28%	21%
S&E	39	11	0.20 (0.02)	0.06 (0.01)	6%	2%

Demand savings at the COB and Library were disaggregated according to end uses to evaluate how the HVAC DR strategy performed. The S&E building was excluded, since due to the complexity of the electrical distribution, the majority of end uses are not submetered at the panel level. The submeter data show that the most significant savings were demand reductions in HVAC and mechanical equipment. Figure 6 shows that HVAC and mechanical shed ranged from 50-75% of the total reduction. As indicated in Figure 7, HVAC load reductions were largely due to the decrease in air handler supply fan power. Load reductions in the pumps at the building bridge were negligible, and are not visible in the plot. Returning to Figure 6, lighting loads contributed from 15-40% of the whole-building savings, while plug loads accounted for 7-10%.

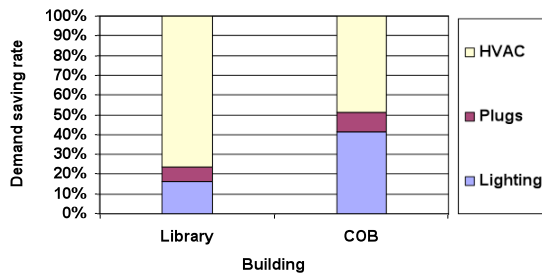


Figure 6. Library and COB end use demand savings on August 14, 2008

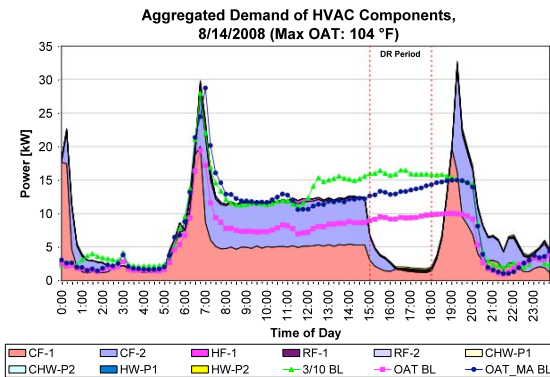


Figure 7. Aggregated demand of HVAC components in COB on August 14, 2008

UCM currently participates in DR through PG&E's aggregator managed portfolio (AMP) program in a semi-automated fashion. Should the facility choose to participate in fully automated DR programs offered by PG&E, it is eligible to participate in the demand bidding, critical peak pricing and peak choice programs. To calculate the rewards that could be earned under each program, UCM's achieved demand reduction in 2009 is applied to specific program incentives. The description of the programs and incentives are summarized below¹:

- **Demand Bidding Program (DBP):** This is a voluntary price-based program where customers are encouraged to bid a demand reduction amount (kW) for at least two consecutive hours between noon and 8 pm and are offered 0.50/kWh for day-ahead or 0.60/kWh for day-of participation. The analysis assumed 12 DBP events and four-hour participation by UCM.

¹ More information on PG&E's DR programs are available at <http://www.pge.com/mybusiness/energysavingsrebates/demandresponse/>

- **Peak Choice:** This DR program allows the participant to choose from a variety of options such as notification time, duration, total number of events, number of consecutive participation etc. It also has two subscription levels: Best Effort (no penalties) and Committed (penalty for not achieving the commitment amount). For both Peak Choice subscriptions UCM's participation is considered for 30-minute advance notice, 1pm to 7 pm participation, 2 to 3 hours of duration with up to 12 events including allowing for up to three consecutive events.
- **Critical Peak Pricing (CPP):** This is a tariff that is designed to be revenue neutral to the class average load shape. Between May 1st and October 3^{1st}, the participants receive credits from their peak and part-peak rates while being subject to three-times and five-times prices up to 12 times between noon and 3pm and 3pm to 6 pm, respectively.

The benefits of participating in each of the programs assuming an average 110 kW demand reduction are summarized in Table 5. Under DBP, with a 110 kW reduction over four hours, the day-ahead benefits are \$2,640 and the day-of benefits are \$3,168. Under Peak Choice Best Effort, UCM has the potential to save \$3,960. Peak Choice Committed participants receive the full payment amount if they participate in each event, and incur penalties for those events in which they either don't participate, or don't meet the committed load. CPP analysis shows the total credits minus charges that occur during the CPP period. Given the economic analysis, the most profitable DR program for UCM is the Peak Choice Committed option, although penalties may be applied if UCM is unable to maintain half of the committed 110 kW reduction. The least risky option is Peak Choice Best Effort where customers are not penalized for non-participation.

Table 5. Incentives from various DR programs for 110 kW demand reduction

DR Program	Incentive	Penalty
DBP (day-of)	\$3,168	-
DBP (day-ahead)	\$2,640	-
Peak Choice (Best Effort)	\$3,960	-
Peak Choice (Committed)	\$6,488	\$3,244
CPP (assuming 5% reduction)	\$1,435	-
CPP (assuming 12% reduction)	\$4,504	-

CONCLUSION AND DISCUSSION

As indicated by the load variability and load shape statistical summaries, the campus has 5-10% load reduction potential during DR events. During peak periods, the electric load variability of the campus is low, around 0.1. Load shape statistical summary plots also indicate low variability, as the standard error of average load is small. The whole-campus weather sensitivity calculations are complicated by the operation of TES, pointing to the need for additional research in weather sensitivity calculations for buildings with on-site storage and generation.

The magnitude of potential demand reduction is smaller at UCM than it otherwise might be, because the TES shifts the campus peak electric loads to nighttime, resulting in a flat daily load shape, and a lower daytime peak. However, the study shows that despite TES and non-coincident building loads, UCM can deliver campus-wide demand reduction from pre-programmed HVAC fans and pumps due to the VAV boxes going to minimum positions and unloading the fans. There were also manual demand reductions from lighting.

There is a significant difference in load reduction between 2008 and 2009. Although the peak load is larger on the DR event day in 2009 (due to expansion of the campus), the load reduction was 30% less. This may be due to some combination of the following:

- Time of day variation of the two DR event periods,
- the loads from lights and plugs were increased in 2009 reducing the gains from automating the HVAC reductions, or
- more people responded manually in 2008.

Both events took place in summer, outside of the school year, however increases in overall campus population may also have contributed. A detailed analysis of 2009 DR event is expected to yield a better understanding of this issue.

The contrasting load reductions observed at the different buildings are largely based on complexity of building type and end uses, and controls interoperability. The COB, and Library contain relatively simple end uses, whereas the S&E building contains complex laboratory spaces and equipment as well as two independent control systems. The percentage of floor space in which DR strategies can be implemented in the S&E building is much smaller than in the other buildings. Therefore, it is not surprising that the load reductions at the Library and COB were on the order of 20%, while the science building was capable of only 2%.

In spite of similar ability to reduce load, the Library contributed nearly twice as much to the campus load reduction than the COB. This is likely due to the fact that the peak demand at the library is approximately double in magnitude, and is almost twice as large (sf). In the same way, the S&E building has the highest peak and footprint on campus. Therefore while it was only able to reduce load by 2%, its relative contribution to the campus reduction was elevated to 6%.

At the end-use level, the most reliable sheds came from HVAC systems that were pre-programmed; manual sheds on lighting and plug loads were sizeable, but variable. That is, half of the submeters for these end uses reflected a load reduction, and half did not. This may be due to a split between office spaces, in which manual load reductions are most likely, and other space types in which lights are more heavily scheduled, or plug loads are not easily turned down or off.

When an average of 110 kW demand reduction is mapped to the incentives offered by the utility's DR programs, the analysis showed the most lucrative programs for UCM to be the peak choice programs. However, the assumptions behind the analysis should be carefully considered since some programs such as peak choice, were not dispatched in 2008 or in the first half of 2009.

The analysis of the 2008 DR event at UCM revealed that improved recovery strategies, such as staging system return to normal operations slowly, should be considered to avoid the rebound peak. A slower recovery is pre-programmed and is visible in the campus load shape on July 27, 2009.

Overall, the existence of the pre-programmed global temperature adjustment strategy allows the campus to respond to DR events and may even be used for TES management by bringing the buildings to a lower cooling mode, when needed to extend the operation of the TES.

FUTURE WORK

As a next step to this research, we plan to analyze 2009 load reductions at the available end-use level and compare those with 2008 to better evaluate the differences between the two years in peak load and demand reduction. This analysis will also include occupant comfort parameters such as zone temperatures and CO₂ levels. We also plan to evaluate effectiveness of the recovery strategies that were implemented in 2009. Finally, as a separate project, we plan to investigate the effectiveness of weather sensitivity calculations for sites with on-site generation and storage.

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